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STATE OF MAINE  
DEPARTMENT OF PROFESSIONAL AND FINANCIAL  
REGULATION  
OFFICE OF SECURITIES  
121 STATE HOUSE STATION  
AUGUSTA, ME  
04333-0121

Judith M. Shaw  
SECURITIES ADMINISTRATOR

## NOTICE OF RULEMAKING

Notice is hereby given that the Securities Administrator proposes to amend Rule Chapter 536, Securities Manuals, without public hearing.

Chapter 536 lists the “nationally recognized securities manuals” that may be used with the Maine Uniform Securities Act’s manual exemption found at Section 16202(2) (32 M.R.S. § 16202(2)). The purpose of the proposed amendment is to remove “Fitch” securities manuals from the list of nationally recognized securities manuals. This change is in response to Fitch discontinuing publication of securities manuals.

The proposed rule change is authorized by 32 M.R.S. §§ 16202(2)(D) and 16605.

Copies of the proposed amended rule and other related documents can be found on the Office of Securities website at [www.investors.maine.gov](http://www.investors.maine.gov).

The primary source of information relied on in amending the rule is staff judgment.

No public hearing is currently scheduled on the proposed amendment. Interested persons may request a hearing and a hearing will be held if requested by five (5) or more interested persons as required pursuant to 5 M.R.S. § 8052(1). Interested persons are invited to provide written comments regarding the proposed amendment. Requests for a hearing or written comments must be received no later than 4:30 p.m. on Friday, February 19, 2021, and should be addressed to: Judith M. Shaw, Securities Administrator, 121 State House Station, Augusta, Maine 04330.

